PTO/SB/05 (034

Approved for use through 10/31/2002. OMB 0651-003
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## UTILITY PATENT APPLICATION TRANSMITTAL

FX/A3007Q1-317004 Attorney Docket No. Misty AZARA et al. First Inventor SYSTEMS AND METHODS FOR RESOLVING **AMBIGUITY** Express Mail Label No. | ER 832006412 US

(Only for new nonprovisional applications under 37 CFR 1.53(b)) **Assistant Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages (preferred errangement set forth below) 3. Computer Readable Form (CRF) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) Power of 37 CFR 3.73(b) Statement 10. - Abstract of the Disclosure (when there is an assignee) Attorney English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [ Total Sheets 14 Copies of IDS Information Disclosure 12. Citations 5. Oath or Declaration [ Total Pages Statement (IDS)/PTO-1449 **Preliminary Amendment** Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** i. Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR 16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Group Art Unit: Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS (Insert Customer No. or Attach bar code label here) Correspondence address below Customer Number or Bar Code Label Name Christian Austin-Hollands Esq. PO Box 170325 Address Zip Code City San Francisco State CA 94117 415.762.9543 415.762.9543 Fax Country Telephone USA 46,527 Christian Austin-Hollands Registration No. (Attorney/Agent) Name (Print/Type) 03/23/2004 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Signature

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

3/23/2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL **NEW US APPLICATION Application Number** March 23, 2004 Filing Date for FY 2004 Misty AZARA et al. First Named Inventor Effective 10/01/2003, Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 **Art Unit** TOTAL AMOUNT OF PAYMENT (\$) 810.00 FX/A3007Q1-317004 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Money Order 3. ADDITIONAL FEES Check Credit card Other None arge Entity | Small Entity Deposit Account: Fee Fee Description Deposit Code Code (\$) (\$) Fee Paid 50-3023 Account 1051 130 2051 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or 2052 1052 50 25 Christian Austin-Hollands Account cover sheet Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) For filing a request for ex parte reexamination 1812 2,520 1812 2,520 Credit any overpayments Charge fee(s) indicated below Requesting publication of SIR prior to 9201 1804 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1,840 1805 1,840\* to the above-identified deposit account. 2251 Extension for reply within first month 1251 110 55 **FEE CALCULATION** Extension for reply within second month 2252 420 210 1252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month Large Entity Small Entity Fee Description Fee Paid Fee Fee Code (\$) Fee Fee Code (\$) 1254 1,480 2254 Extension for reply within fourth month 1.005 Extension for reply within fifth month 1255 2.010 2255 1001 770 2001 385 Utility filing fee 770 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 330 2402 165 Filing a brief in support of an appeal 1402 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 1403 290 2403 1004 770 2004 385 Reissue filing fee 1451 1451 1,510 1,510 Petition to institute a public use proceeding 1005 160 2005 Provisional filing fee 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) (\$) 770.00 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee Paid below Extra Claims 1502 480 2502 240 Design issue fee **Total Claims** 19 x 18 10 -20\*\* = D 1503 640 2503 320 Plant issue fee Independent 3 Claims Multiple Dependent =0 x | 86 1460 130 Petitions to the Commissioner 130 1460 ŧО 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 Large Entity **Small Entity** 180 Submission of Information Disclosure Stmt 1806 180 1806 Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) \$40.00 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 2202 18 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 Independent claims in excess of 3 86 2201 43 1201 385 For each additional invention to be 2203 Multiple dependent claim, if not paid 1203 290 145 1810 770 2810 examined (37 CFR 1.129(b)) \*\* Reissue independent claims 1204 86 2204 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 900 Request for expedited examination 1802 900 1802 1205 18 2205 Reissue claims in excess of 20 and over original patent of a design application Other fee (specify) (\$) 0.00 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \*\*or number previously paid, if greater; For Reissues, see above (Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Christian Austin-Hollands 46.527 Telephone 415.762.9543 (Attorney/Agent)

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Unel.

## **CHRISTIAN AUSTIN-HOLLANDS ESQ**

225 Bush St., Suite 1600 San Francisco, CA 94117 415.762.9543 | 877.223.4454 tel./fax

Christian Austin-Hollands Esq.

Email: christian@austin-hollands.com

March 23, 2004

Assistant Commissioner for Patents U.S. Patent & Trademark Office Washington, DC 20231

In re: Misty AZARAet al.

New U.S. Utility Patent Application

Attorney Docket No. FX/A3007Q1-317004

Entitled SYSTEMS AND METHODS FOR RESOLVING AMBIGUITY

Dear Sir:

The Assistant Commissioner is authorized and requested to charge the new U.S. Patent Application Filing Fees of **Eight Hundred and Ten (\$810.00)** (including assignment) associated with the attached application to:

Practitioner's U.S. Patent Office Deposit Account # 50-3023.

Should the payment of any additional fee be required to complete this filing, the Assistant Commissioner is authorized and requested to charge the deposit account and notify Christian Austin-Hollands at the address listed above.

An additional copy of this Fee Payment Request is enclosed. Should you have any questions or comments, please contact practitioner at the number listed above.

Sincerely,

Christian Austin-Hollands Esq. (Registration No. 46,527)

Encl: Fee Payment Request